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U.S. APPLICATION NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
09/689380	NAKAMURA	M 450106-02849
		INTERNATIONAL APPLICATION NO.
		PCT/JPO/08112
		LA. FILING DATE PRIORITY DATE
		17 NOV 00 17 NOV 99
DATE MAILED:		

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

1. The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated Office (37 CFR 1.494) an Elected Office (37 CFR 1.495):

- U.S. Basic National Fee.
- Copy of the international application.
- Oath or Declaration of inventor(s).
- Copy of Article 19 amendments.
- Priority Document.
- The International Preliminary Examination Report in English and its Annexes, if any.
- Translation of Annexes to the International Preliminary Examination Report into English.
- Indication of Small Entity Status.
- Translation of the international application into English.
- Translation of Article 19 amendments into English.
- Other:

2. Applicant has requested early processing under 35 U.S.C. 371(f) but has not filed the following indicated items and/or the indicated items in paragraph 3 below. The Basic National Fee and the copy of the international application must be filed prior to 20 or 30 months from the priority date to avoid abandonment.

- U.S. Basic National Fee.
- Copy of the international application.

3. The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- a. Translation of the application into English. A processing fee will be required if submitted later than the appropriate 20 or 30 months from the priority date.
- The current translation is defective for the reasons indicated on the attached Notice of Defective Translation.
- b. Processing fee for providing the translation of the application and/or the Annexes later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(f)).
- c. Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), properly identifying the application (preferably by the International application number and international filing date). A surcharge will be required if submitted later than the appropriate 20 or 30 months from the priority date.
- The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) for the reasons indicated on the attached PCT/DO/EO/917.
- d. Surcharge for providing the oath or declaration later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(e)).

4. Additional claim fees of \$ _____ as a large entity small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due (37 CFR 1.492(g)). See attached PTO-875.

5. Applicant has not submitted the required sequence listing pursuant to 37 CFR 1.821-1.825. See attached PCT/DO/EO/920.

ALL OF THE ITEMS SET FORTH IN 3(a)-3(d), 4 AND 5 ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 22 OR 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

6. If box 3a or 3c is checked, a translation of the Annexes **MUST** be submitted no later than the time period set above or the Annexes will be cancelled. A processing fee will be required if submitted later than 20 or 30 months from the priority date.

7. The Article 19 amendments are cancelled since a translation was not provided by the appropriate 20 (37 CFR 1.494(d)) or 30 (37 CFR 1.495(d)) months from the priority date.

Applicant is reminded that any communication to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above. (37 CFR 1.5)

A copy of this notice MUST be returned with this response.

Enclosed: PCT/DO/EO/917 Notice of Defective Translation
 PTO-875 PCT/DO/EO/920
Paulette Kidwell, Paralegal
Telephone: 703-305-3656

FORM PCT/DO/EO/905 (March 2001)

DOCKETED

FROMMER LAWRENCE
& HAUG, LLP
28 AUG 31 A 10:47



U.S. APPLICATION NO.

ATTACHMENT TO FORM PCT/DO/00

09/889380

NOTICE OF DEFECTIVE TRANSLATION

The received translation is defective because:

(1) The text in the drawings has not been properly translated;
 (2) The number of claims in the International Application and the number of claims in the translation are not the same;
 (3) The translation of the International Application is incomplete as a number of pages are missing;
 (4) Other.

THE TOTAL NUMBER OF CLAIMS IN THE TRANSLATION IS 12 AND THE TOTAL NUMBER OF CLAIMS IN THE INTERNATIONAL APPLICATION IS 24

DOCKETED

Paulette Kidwell, Paralegal

Telephone: 703-305-3656

FORM PCT/DO/EO/913 (September 1996)

July 16, 2001
Express Mail label No. E 817166371 US

Serial No. _____

File No. 150106-02849

By loscldds

Title In the Matter of the Application of

The following due 7/17/01 in the U.S. Patent Office, was received in the Patent Office

- Affidavit
- Declaration
- Amendment
- Preliminary Amendment
- Amendment After Final Rejection
- Request for Extension of Time
- Provisional Patent Application
- Application for Patent, including 31 Pages Specification 24 Claims
- Declaration Oath Power
- Request for Filing Continuation or Divisional Application _____ sheets, in duplicate
- File Wrapper Continuation Patent Application _____ sheets, in duplicate
- PCT Request _____ sheets, including
- Transmittal Letter to the US/RO
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Check No. 16605 for \$ 932⁰⁰

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Drawing 14 Sheet(s)

Information Disclosure Statement

PTO Form 1449, and reFS

Issue Fee Transmittal

Brief Letter

Application for TM Registration

Including _____ Specimens

Status Request Notice of Appeal

Petition Response

Priority Document

Small Entity Declaration

PCT/EP/101, PCT/ISA/210

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File No. 450106-02849

By loscldds

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r William S. Frommer, Esq.
FROMMER LAWRENCE & HAUG LLP
745 5TH AVE FL 10
NEW YORK NY 10151-1000

WSF/dds 450106-028Y9

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FROMMER LAWRENCE & HAUG LLP
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#016604 10210000181 6301147455

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DETACH AND RETAIN THIS STATEMENTTHE ATTACHED CHECK IS IN PAYMENT OF ITEMS DESCRIBED BELOW.
IF NOT CORRECT PLEASE NOTIFY US PROMPTLY. NO RECEIPT DESIRED.

APPLICANT

NAKAmura, et al.

SERIAL NO.

FL & H DOCKET NO. 450106-02849

TITLE Digital Signal Processing Apparatus and Method

- APPEAL BRIEF
- APPEAL FEE
- CERTIFICATE OF CORRECTION
- DISCLAIMER
- EXTENSION OF TIME
- FEE FOR ADDED CLAIMS
- FILING FEE
- ISSUE FEE
- MAINTENANCE FEE
- MISSING PARTS
- NOTICE OF OPPOSITION
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- PETITION FEE
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- PETITION TO REVIVE
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- REQUEST FOR ORAL HEARING
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- TM RENEWAL
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